



OFFICE OF THE CHANCELLOR

9500 GILMAN DRIVE # 0005  
LA JOLLA, CALIFORNIA 92093-0005  
TELEPHONE: (858) 534-3135  
FAX: (858) 534 6523

January 04, 2019

EXECUTIVE VICE CHANCELLOR  
Academic Affairs  
0001

SUBJECT: Delegation of Authority – Promotions and Appointments; Layoffs, Involuntary Reductions in Time, and Notices of Non-Reappointment; Professional Research (Research Scientist) Series

REFERENCES: 1. [Academic Personnel Manual \(APM\) 137, General University Policy Regarding Academic Appointees/Non-Senate Appointees/Term Appointment](#)  
2. [APM 145, General University Policy Regarding Academic Appointees/Non-Senate Appointees/Layoff and Involuntary Reduction in Time](#)  
3. [UC San Diego Policy and Procedure Manual \(PPM\) 230-7, Non-Senate Appointees/Layoff and Involuntary Reduction in Time](#)  
4. [APM 200, Appointment and Promotion/General](#)  
5. [PPM 230-200, Appointment and Promotion/General](#)  
6. [APM 205, Appointment and Promotion/Recall for Academic Appointees](#)  
7. [PPM 230-205, Appointment and Promotion/Recall for Academic Appointees](#)  
8. [APM 310, Appointment and Promotion/Professional Research Series](#)  
9. [PPM 230-310, Appointment and Promotion/Professional Research \(Research Scientist\) Series](#)  
10. [APM 355, Appointment and Promotion/Non-Salary Research Titles](#)  
11. [PPM 230-355, Appointment and Promotion/Non-Salary Research Titles](#)

In accordance with the references above, I hereby delegate to the Executive Vice Chancellor for Academic Affairs, authority for the following academic personnel actions for appointees in the Professional Research (Research Scientist) Series:

- a. appointment and promotion decisions, including the grant or denial of all academic review actions, and the associated salary actions, subject to the reservations provided in this letter;
- b. all layoffs and involuntary reductions in time for appointees; and
- c. decisions regarding the issuance of all Notices of Action of Non-reappointment for appointees with term appointments, in accordance with APM 137-32.c.

January 04, 2019

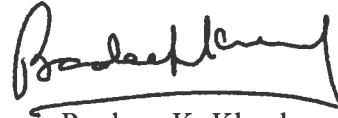
Page 2 of 2

This delegation does not include the approval of Recall to Active Duty appointments at the Associate or Full level that qualify as exceptions to policy.

With the approval of the Chancellor, the Executive Vice Chancellor may redelegate all or part of the authority delegated by this letter. Any redelegation must be in writing with a copy to the Chancellor and a copy to Policy and Records Administration.

All actions made pursuant to this delegation or any redelegation must be reported to the Chancellor's office within thirty days after taking action.

All prior delegations inconsistent with this delegation are hereby superseded and rescinded.



Pradeep K. Khosla  
Chancellor

cc: Vice Chancellor for Health Sciences  
Vice Chancellor for Marine Sciences  
Vice Chancellor for Research Affairs  
Dean, Arts & Humanities  
Dean, Biological Sciences  
Dean, Graduate Division  
Dean, Graduate School of Global Policy and Strategy  
Dean, Jacobs School of Engineering  
Dean, Physical Sciences  
Dean, Rady School of Management  
Dean, School of Medicine  
Dean, Skaggs School of Pharmacy and Pharmaceutical Sciences  
Dean, Social Sciences  
Policy and Records Administration



OFFICE OF THE EXECUTIVE VICE CHANCELLOR  
ACADEMIC AFFAIRS

9500 GILMAN DRIVE  
LA JOLLA, CALIFORNIA 92093-0001  
PHONE (858) 534-3130  
FAX: (858) 534-5355

January 31, 2019

DAVID BRENNER, VICE CHANCELLOR, HEALTH SCIENCES  
MARGARET LEINEN, VICE CHANCELLOR, MARINE SCIENCES  
SANDRA A. BROWN, VICE CHANCELLOR, RESEARCH AFFAIRS  
CRISTINA DELLA COLETTA, DEAN, DIVISION OF ARTS AND HUMANITIES  
KIT POGLIANO, DEAN, DIVISION OF BIOLOGICAL SCIENCES  
PAUL K.L. YU, INTERIM DEAN OF GRADUATE STUDIES  
PETER COWHEY, DEAN, SCHOOL OF GLOBAL POLICY & STRATEGY  
ALBERT P. PISANO, DEAN, JACOBS SCHOOL OF ENGINEERING  
STEVEN BOGGS, DEAN, DIVISION OF PHYSICAL SCIENCES  
ROBERT S. SULLIVAN, DEAN, RADY SCHOOL OF MANAGEMENT  
CAROL PADDEN, DEAN, DIVISION OF SOCIAL SCIENCES  
MARY L. WALSHOK, DEAN, UNIVERSITY EXTENSION

SUBJECT: Delegation of Authority to Make Certain Academic Personnel Decisions; Professional Research (Research Scientist) Series

REFERENCES:

1. [Academic Personnel Manual \(APM\) 137, General University Policy Regarding Academic Appointees/Non-Senate Appointees/Term Appointment](#)
2. [APM 145, General University Policy Regarding Academic Appointees/Non-Senate Appointees/Layoff and Involuntary Reduction in Time](#)
3. [UC San Diego Policy and Procedure Manual \(PPM\) 230-7, Non-Senate Appointees/Layoff and Involuntary Reduction in Time](#)
4. [APM 200, Appointment and Promotion/General](#)
5. [PPM 230-200, Appointment and Promotion/General](#)
6. [APM 205, Appointment and Promotion/Recall for Academic Appointees](#)
7. [PPM 230-205, Appointment and Promotion/Recall for Academic Appointees](#)
8. [APM 310, Appointment and Promotion/Professional Research Series](#)
9. [PPM 230-310, Appointment and Promotion/Professional Research \(Research Scientist\) Series](#)
10. [APM 355, Appointment and Promotion/Non-Salary Research Titles](#)
11. [PPM 230-355, Appointment and Promotion/Non-Salary Research Titles](#)

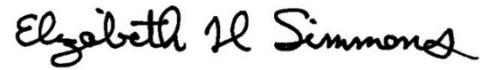
Dear Colleagues,

In accordance with Delegation of Authority UCSD DA3747, dated January 4, 2019, I hereby delegate to you, in your capacity as Vice Chancellor or Divisional Dean, the authority to make academic review decisions, as described in the attached *Delegation of Authority for Academic Review Actions – Research Scientist Series* chart, dated January 29, 2019. This chart lists the authority being given to you pursuant to this delegation. For reference, the chart also details the authority for actions being retained by the Chancellor and by me in my capacity as Executive Vice Chancellor, Academic Affairs.

All prior delegations inconsistent with this delegation are hereby superseded and rescinded.

Exercise of this authority requires you to report your decisions to the Executive Vice Chancellor of Academic Affairs within thirty days of making the decision. This delegation may be re-delegated with the prior approval of the Executive Vice Chancellor. Any re-delegations shall be in writing, with a copy provided to the Chancellor, the Executive Vice Chancellor, and Policy and Records Administration.

Sincerely,



Elizabeth H. Simmons  
Executive Vice Chancellor

cc: Chancellor Khosla  
Academic Senate Chair Horwitz  
Senior Associate Vice Chancellor Continetti  
Assistant Vice Chancellor Palmer  
Assistant Vice Chancellor Owen  
Associate Dean Hidalgo  
Policy and Records Administration

**Delegation of Authority for Academic Review Actions – Research Scientist Series (UCSD DA3751)**  
(Effective January 31, 2019)

## ASSISTANT RESEARCH SCIENTIST

	General Campus Divisional Dean or Vice Chancellor for Research	Vice Chancellor for Marine Sciences	Vice Chancellor for Health Sciences	Executive Vice Chancellor for Academic Affairs	Chancellor
Appointment <sup>1,2</sup> (steps I, II & III)	A <sup>4,5</sup>	A	A		
Appointment (steps IV, V and VI)				A	
Reappointment <sup>1,2</sup> (first review only)	A <sup>4,5</sup>	A	A		
Merit advancement <sup>1,2</sup> (first review only)	A <sup>4,5</sup>	A	A		
No change <sup>1,2</sup> (first review only)	A <sup>4,5</sup>	A	A		
Reappointment, merit advancement, no change, after first review				A	
Merit advancement or no change with off-scale salary increase (standard)				A	
Merit advancement or no change with off-scale salary requested as exception to policy				A	
Accelerated merit advancement <sup>1,2</sup>				A	
Appraisal				A	
Promotion <sup>1,2</sup>				A	
Promotion with acceleration				A	
Visiting appointment <sup>2</sup>	A <sup>4,5</sup>	A	A		
Layoff/Involuntary Reduction in Time <sup>2</sup>	A <sup>4,5</sup>	A	A		
Notice of Non-Reappointment (following 8 or more years $\geq 50\%$ ) <sup>2,3</sup>	A <sup>4,5</sup>	A	A		

A = AUTHORITY

<sup>1</sup>IF THERE IS DISAGREEMENT BETWEEN THE CANDIDATE, DEPARTMENT, OR REVIEWING ADMINISTRATOR (e.g., Dean or Vice Chancellor), EVC IS AUTHORITY<sup>2</sup>IF THE PROPOSED ACTION IS FOR A NEAR RELATIVE AS DEFINED IN [APM 520, EMPLOYMENT OF NEAR RELATIVES](#), EVC IS AUTHORITY<sup>3</sup>IF NOTICE OF NON-REAPPOINTMENT IS REQUIRED PURSUANT TO [APM 137 – NON-SENATE APPOINTEES/TERM APPOINTMENTS](#), THE DEAN OR VICE CHANCELLOR IS AUTHORITY FOR THE NOTICE OF ACTION OF NON-REAPPOINTMENT<sup>4</sup>IF THE PROPOSED ACTION IS FOR AN APPOINTEE IN A GENERAL CAMPUS DEPARTMENT, THE DIVISIONAL DEAN IS AUTHORITY<sup>5</sup>IF THE PROPOSED ACTION IS FOR AN APPOINTEE IN A GENERAL CAMPUS ORU, THE VICE CHANCELLOR FOR RESEARCH IS AUTHORITY

## ASSOCIATE/FULL RESEARCH SCIENTIST

	General Campus Divisional Dean or Vice Chancellor for Research	Vice Chancellor for Marine Sciences	Vice Chancellor for Health Sciences	Executive Vice Chancellor for Academic Affairs	Chancellor
Appointment <sup>1,2</sup>				A	
Merit advancement <sup>1,2</sup>	A <sup>4,5</sup>	A	A		
First no change <sup>1,2</sup>	A <sup>4,5</sup>	A	A		
Consecutive no change				A	
Merit advancement or no change with off-scale salary increase (standard)				A	
Merit advancement or no change with off-scale salary requested as exception to policy				A	
Accelerated merit advancement				A	
Promotion to Full Research Scientist				A	
Merit advancement to Research Scientist, Step VI				A	
Merit advancement to Research Scientist, Above Scale				A	
Further above-scale merit advancement				A	
Recall (standard)				A	
Recall as exception to policy					A
Visiting appointment <sup>1,2</sup>	A <sup>4,5</sup>	A	A		
Layoff/Involuntary Reduction in Time <sup>2</sup>	A <sup>4,5</sup>	A	A		
Notice of Non-Reappointment (following 8 or more years at ≥ 50%) <sup>2,3</sup>	A <sup>4,5</sup>	A	A		

A = AUTHORITY

<sup>1</sup>IF THERE IS DISAGREEMENT BETWEEN THE CANDIDATE, DEPARTMENT, OR REVIEWING ADMINISTRATOR (e.g., Dean or Vice Chancellor), EVC IS AUTHORITY<sup>2</sup>IF THE PROPOSED ACTION IS FOR A NEAR RELATIVE AS DEFINED IN [APM 520, EMPLOYMENT OF NEAR RELATIVES](#), EVC IS AUTHORITY<sup>3</sup>IF NOTICE OF NON-REAPPOINTMENT IS REQUIRED PURSUANT TO [APM 137 – NON-SENATE APPOINTEES/TERM APPOINTMENTS](#), THE DEAN OR VICE CHANCELLOR IS AUTHORITY FOR THE NOTICE OF ACTION OF NON-REAPPOINTMENT<sup>4</sup>IF THE PROPOSED ACTION IS FOR AN APPOINTEE IN A GENERAL CAMPUS DEPARTMENT, THE DIVISIONAL DEAN IS AUTHORITY<sup>5</sup>IF THE PROPOSED ACTION IS FOR AN APPOINTEE IN A GENERAL CAMPUS ORU, THE VICE CHANCELLOR FOR RESEARCH IS AUTHORITY